



Filing ID #10022932

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Katherine Porter
Status: Congressional Candidate
State/District: CA45

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2018
Filing Date: 05/16/2018
Period Covered: 01/01/2017– 05/15/2018

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Ameritas Life Insurance Variable Life Insurance ⇒ Calvert NASDAQ 100 Index Fund [WU]		\$1,001 - \$15,000	None		
Ameritas Life Insurance Variable Life Insurance ⇒ Calvert S&P 500 Index Fund [WU]		\$15,001 - \$50,000	None		
Ameritas Life Insurance Variable Life Insurance ⇒ Fidelity Contrafund [WU]		\$1,001 - \$15,000	None		
Ameritas Life Insurance Variable Life Insurance ⇒ Fidelity Equity Income Fund [WU]		\$1,001 - \$15,000	None		
Ameritas Life Insurance Variable Life Insurance ⇒ Fidelity Growth [WU]		\$1,001 - \$15,000	None		
Bankers Trust Checking Account [BA] [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200
College Savings 529 Dependent Child 3 ⇒ Vanguard Institutional Total Stock Market Index Fund [5P]	DC	\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
LOCATION: IA					
College Savings 529 Dependent Child 3 ⇒ Vanguard Total International Stock Index Fund [5P]	DC	\$1,001 - \$15,000	Tax-Deferred		
LOCATION: IA					
College Savings Iowa 529 Dependent Child 1 ⇒ Vanguard Institutional Total Stock Market Index Fund [5P]	DC	\$1,001 - \$15,000	Tax-Deferred		
LOCATION: IA					
College Savings Iowa 529 Dependent Child 1 ⇒ Vanguard Total International Stock Index Fund [5P]	DC	\$1,001 - \$15,000	Tax-Deferred		
LOCATION: IA					
College Savings Iowa 529 Dependent Child 2 ⇒ Bond Index Portfolio [5P]	DC	\$1 - \$1,000	Tax-Deferred		
LOCATION: IA					
College Savings Iowa 529 Dependent Child 2 ⇒ Growth Portfolio [5P]	DC	\$1 - \$1,000	Tax-Deferred		
LOCATION: IA					
College Savings Iowa 529 Dependent Child 2 ⇒ Income Portfolio [5P]	DC	\$1 - \$1,000	Tax-Deferred		
LOCATION: IA					
College Savings Iowa 529 Dependent Child 2 ⇒ Total Domestic Stock Index Portfolio [5P]	DC	\$1,001 - \$15,000	Tax-Deferred		
LOCATION: IA					
College Savings Iowa 529 Dependent Child 2 ⇒ Total International Stock Index Portfolio [5P]	DC	\$1 - \$1,000	Tax-Deferred		
LOCATION: IA					
College Savings Iowa 529 Dependent Child 2 ⇒ Vangard Total International Stock Index Fund [DB]	DC	\$1,001 - \$15,000	Tax-Deferred		
Fidelity Nevada System of Higher Education Retirement ⇒ Fidelity Blue Chip Growth [DB]		\$1,001 - \$15,000	Tax-Deferred		
Fidelity Nevada System of Higher Education Retirement ⇒ Fidelity Contrafund [DB]		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Fidelity Nevada System of Higher Education Retirement ⇒ Fidelity Contrafund [DB]		\$1,001 - \$15,000	Tax-Deferred		
Fidelity Nevada System of Higher Education Retirement ⇒ Fidelity Magellan [DB]		\$1 - \$1,000	Tax-Deferred		
Fidelity Nevada System of Higher Education Retirement ⇒ Fidelity Midcap Stock [DB]		\$1,001 - \$15,000	Tax-Deferred		
Fidelity Nevada System of Higher Education Retirement ⇒ Fidelity Worldwide [DB]		\$1,001 - \$15,000	Tax-Deferred		
Grandparent Iowa 529 College Savings Plan for Dependent Child 1 ⇒ Vanguard Institutional Total Stock Market Index Fund [5P] LOCATION: IA	DC	\$15,001 - \$50,000	Tax-Deferred		
Grandparent Iowa 529 College Savings Plan for Dependent Child 1 ⇒ Vanguard Total International Stock Index Fund [5P] LOCATION: IA	DC	\$15,001 - \$50,000	Tax-Deferred		
Grandparent Iowa 529 College Savings Plan for Dependent Child 2 ⇒ Vanguard Institutional Total Stock Market Index Fund [5P] LOCATION: IA	DC	\$15,001 - \$50,000	Tax-Deferred		
Grandparent Iowa 529 College Savings Plan for Dependent Child 3 ⇒ Vanguard Institutional Total Stock Market Index Fund [5P] LOCATION: IA	DC	\$15,001 - \$50,000	Tax-Deferred		
Grandparent Iowa 529 College Savings Plan for Dependent Child 3 ⇒ Vanguard Total International Stock Market Fund [5P] LOCATION: IA	DC	\$15,001 - \$50,000	Tax-Deferred		
IRA ⇒ Bank of the Ozarks (OZRK) [ST] DESCRIPTION: Stock held in brokerage account for IRA		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
IRA ⇒ Berkshire Hathaway Inc. New (BRK.B) [IH]		\$1,001 - \$15,000	None		
DESCRIPTION: Individual stock held in brokerage account as part of IRA					
IRA ⇒ Capital One Financial Corporation (COF) [ST]		\$1,001 - \$15,000	Dividends	None	\$1 - \$200
DESCRIPTION: Individual security held in brokerage account in IRA					
IRA ⇒ Caterpillar, Inc. (CAT) [ST]		\$1,001 - \$15,000	Dividends	None	\$201 - \$1,000
DESCRIPTION: Individual security held as part of brokerage account in IRA					
IRA ⇒ FDIC Insured Bank Deposit [IH]		\$1,001 - \$15,000	Interest	None	\$1 - \$200
DESCRIPTION: Cash held in IRA					
IRA ⇒ Heartland Express, Inc. (HTLD) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Individual security held in brokerage account as part of IRA					
Pacific Life TSA/403b ⇒ Optimal Aggressive Growth Fund [OT]		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: Optimal Aggressive Growth Fund					
Pennsylvania 529 Dependent Child 1 ⇒ Pennsylvania Guaranteed Savings Plan [5P]	DC	\$50,001 - \$100,000	Tax-Deferred		
LOCATION: PA					
Pennsylvania 529 for Dependent Child 2 ⇒ Pennsylvania Guaranteed Savings Plan [5P]	DC	\$50,001 - \$100,000	Tax-Deferred		
LOCATION: PA					
Pennsylvania 529 for Dependent Child 3 ⇒ Pennsylvania Guaranteed Savings Plan [5P]	DC	\$50,001 - \$100,000	Tax-Deferred		
LOCATION: PA					
Roth IRA ⇒ FDIC insured Bank Deposit [PE]		\$1 - \$1,000	Interest	None	\$1 - \$200
Roth IRA ⇒ Pepsico, Inc. (PEP) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Stock held in Roth IRA					
Royalty payments from Stanford University Press [IP]		Undetermined	Royalties to book	None	\$201 -

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DESCRIPTION: Royalty payment from Stanford Press on academic book authored.			author		\$1,000
Royalty payments on law textbooks [IP]		Undetermined	Royalties to book author	\$1,001 - \$2,500	\$2,501 - \$5,000
DESCRIPTION: Royalty payments from Wolters Kluwer on two academic law textbooks.					
SEP (self-employed) IRA ⇒ TIAA-Cref Lifecycle 2040--Retirement [PE]		\$50,001 - \$100,000	Tax-Deferred		
TIAA-Cref retirement plans ⇒ NSHE 401a/414H DC Retirement Plan ⇒ Cref Bond Market [PE]		\$1,001 - \$15,000	Tax-Deferred		
TIAA-Cref retirement plans ⇒ NSHE 401a/414H DC Retirement Plan ⇒ Cref Equity Index [PE]		\$1,001 - \$15,000	Tax-Deferred		
TIAA-Cref retirement plans ⇒ NSHE 401a/414H DC Retirement Plan ⇒ Cref Stock Fund [PE]		\$1,001 - \$15,000	Tax-Deferred		
TIAA-Cref retirement plans ⇒ NSHE 401a/414H DC Retirement Plan ⇒ TIAA Real Estate [PE]		\$1,001 - \$15,000	Tax-Deferred		
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ Cref Bond Market [PE]		\$1,001 - \$15,000	Tax-Deferred		
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ Cref Global Equities [PE]		\$15,001 - \$50,000	Tax-Deferred		
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ Cref Inflation-Linked Bond [PE]		\$1,001 - \$15,000	Tax-Deferred		
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ CREF Stock [PE]		\$15,001 - \$50,000	Tax-Deferred		
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ CREF-Equity Index [PE]		\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ TIAA Real Estate Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ TIAA-Cref International Equity Fund [PE]		\$1,001 - \$15,000	Tax-Deferred		
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ TIAA-Cref Midcap Growth Fund [PE]		\$1,001 - \$15,000	Tax-Deferred		
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ TIAA-Cref Midcap Value Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ TIAA-Cref Real Estate Securities Fund [PE]		\$1,001 - \$15,000	Tax-Deferred		
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ TIAA-Traditional [PE]		\$1,001 - \$15,000	Tax-Deferred		
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ Vanguard Institutional Index Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		
University of California Retirement Plan [PE] DESCRIPTION: UC required pension		Undetermined	None		
University of California Retirement Program ⇒ DFA Emerging Markets [PE]		\$1 - \$1,000	Tax-Deferred		
University of California Retirement Program ⇒ UC Bond [PE]		\$1,001 - \$15,000	Tax-Deferred		
University of California Retirement Program ⇒ UC Domestic Equity Index Fund [PE]		\$1,001 - \$15,000	Tax-Deferred		
University of California Retirement Program ⇒ UC Global Equity Fund [PE]		\$1,001 - \$15,000	Tax-Deferred		
University of California Retirement Program ⇒ UC International Equity Index [PE]		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
University of California Retirement Program ⇒ UC Pathway 2035 Fund [PE]		\$1,001 - \$15,000	Tax-Deferred		
University of California Retirement Program ⇒ UC Savings Fund [PE]		\$1,001 - \$15,000	Tax-Deferred		
University of California Retirement Program ⇒ UC TIPS Fund [PE]		\$1,001 - \$15,000	Tax-Deferred		

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
University of California Irvine	salary	\$71,638.32	\$214,915.00
iLaw Ventures	Teaching	N/A	\$24,700.00
CCH Incorporated dba Wolters Kluwer	Publishing Royalties	N/A	\$3,897.00

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

Position	Name of Organization
Secretary & Executive Committee Member	National Bankruptcy Conference
Advisory Committee Member	American Bankruptcy Institute Law Review
Advisory Board Member	Institute for Money, Technology & Financial Inclusion at UC Irvine
Committee Member	Mortgage Servicing Collaborative, Urban Institute
Advisory Board Member	California Self-Help Credit Union and Center for Responsible Lending
Committee Member	California State Bar, Consumer Financial Services Committee
Reporter	Uniform Law Commission, Study Committee on Transfer and Recording of Debt
Committee Member	National Conference of Bankruptcy Judges
Committee Member	Committee on Privilege and Tenure, University of California Irvine

Position	Name of Organization
Advisor	Hope Now
Professional Expert	In re Morgan Drexen Bankruptcy
Professional Expert	Consumer Financial Protection Bureau, a federal agency

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Source (Name and Address)	Brief Description of Duties
Estate of Morgan Drexen Inc. (Costa Mesa, CA, US)	professional expert to aid consumers affected by bankruptcy

SCHEDULE A ASSET CLASS DETAILS

- Ameritas Life Insurance Variable Life Insurance
- College Savings 529 Dependent Child 3 (Owner: DC)
LOCATION: IA
- College Savings Iowa 529 Dependent Child 1 (Owner: DC)
LOCATION: IA
- College Savings Iowa 529 Dependent Child 2 (Owner: DC)
LOCATION: IA
- Fidelity Nevada System of Higher Education Retirement
- Grandparent Iowa 529 College Savings Plan for Dependent Child 1 (Owner: DC)
LOCATION: IA
- Grandparent Iowa 529 College Savings Plan for Dependent Child 2 (Owner: DC)
LOCATION: IA
- Grandparent Iowa 529 College Savings Plan for Dependent Child 3 (Owner: DC)
LOCATION: IA
- IRA
- Pacific Life TSA/403b
- Pennsylvania 529 Dependent Child 1 (Owner: DC)
LOCATION: PA
- Pennsylvania 529 for Dependent Child 2 (Owner: DC)
LOCATION: PA
- Pennsylvania 529 for Dependent Child 3 (Owner: DC)
LOCATION: PA
- Roth IRA
- SEP (self-employed) IRA
- TIAA-Cref retirement plans

- TIAA-Cref retirement plans ⇒ NSHE 401a/414H DC Retirement Plan
- TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan
- University of California Retirement Program

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Katherine Porter , 05/16/2018